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(54) Title: SYSTEMS AND METHODS FOR CHARACTERIZATION OF MATERIALS AND COMBINATORIAL LIBRARIES WITH MECHANICAL OSCILLATORS

(57) Abstract

Methods and apparatus for screening diverse arrays of materials are provided. In one aspect, systems and methods are provided for imaging a library of materials using ultrasonic imaging techniques. The system includes one or more devices for exciting an element of the library such that acoustic waves are propagated through, and from, the element. The acoustic waves propagated from the element are detected and processed to yield a visual image of the library element. The acoustic wave data can also be processed to obtain information about the elastic properties of the library element. In another aspect, systems and methods are provided for generating acoustic waves in a tank filled with a coupling liquid. The library of materials is then placed in the tank and the surface of the coupling liquid is scanned with a laser beam. The structure of the liquid surface disturbed by the acoustic wave is recorded, the recorded disturbance being representative of the physical structure of the library. In another aspect of the invention, a mechanical resonator is used to evaluate various properties (e.g., molecular weight, viscosity, specific weight, elasticity, dielectric constant, conductivity, etc.) of the individual liquid elements of a library of materials. The resonator is designed to ineffectively excite acoustic waves. The frequency response of the resonator is measured for the liquid element under test, preferably as a function of time. By calibrating the resonator to a set of standard liquids with known properties, the properties of the unknown liquid can be determined. An array of library elements can be characterized by a single scanning transducer or by using an array of transducers corresponding to the array of library elements. Alternatively, multiple resonators of differing design may be used to evaluate each element of a library of elements, thus providing improved dynamic range and sensitivity.

SYSTEMS AND METHODS FOR CHARACTERIZATION OF MATERIALS AND COMBINATORIAL LIBRARIES WITH MECHANICAL OSCILLATORS

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of commonly assigned U.S. Patent Application Serial No. 08/898,715, filed July 22, 1997, and a continuation-in-part of commonly assigned, co-pending U.S. Provisional Applications Serial Nos. 60/050,949, filed June 13, 1997; 60/028,106, filed October 9, 1996; 60/029,255, filed October 25, 1996; 60/035,366, filed January 10, 1997; 60/048,987, filed June 9, 1997; 60/028,105, filed October 9, 1996; and 60/035,202, filed January 10, 1997; the complete disclosures of which are incorporated herein by reference for all purposes.

This application is also related to commonly assigned, co-pending U.S. Patent Applications Serial Nos. 08/327,513, filed October 18, 1994, 08/438,043, filed May 8, 1995, and 08/841,423, filed April 22, 1997; commonly assigned U.S. Provisional Application Serial No. 60/016,102, filed July 23, 1996; and PCT Application No. WO 95/13278, filed October 18, 1995; the complete disclosures of which are incorporated herein by reference for all purposes.

FIELD OF THE INVENTION

The present invention generally relates to methods and apparatus for rapidly screening an array of diverse materials that have been created at known locations on a single substrate surface. More specifically, the invention is directed to the use of ultrasonic and/or mechanical transducers to image and/or evaluate the individual elements of a library of materials.

BACKGROUND OF THE INVENTION

The discovery of new materials with novel chemical and physical properties often leads to the development of new and useful technologies. Currently, there is a tremendous amount of activity in the discovery and optimization of materials, such as superconductors, zeolites, magnetic materials, phosphors, catalysts, thermoelectric materials,

high and low dielectric materials and the like. Unfortunately, even though the chemistry of extended solids has been extensively explored, few general principles have emerged that allow one to predict with certainty the composition, structure and reaction pathways for the synthesis of such solid state compounds.

5   The preparation of new materials with novel chemical and physical properties is at best happenstance with our current level of understanding. Consequently, the discovery of new materials depends largely on the ability to synthesize and analyze new compounds. Given approximately 100 elements in the periodic table that can be used to make compositions consisting of two or more elements, an incredibly large number of possible new

10   compounds remains largely unexplored. As such, there exists a need in the art for a more efficient, economical and systematic approach for the synthesis of novel materials and for the screening of such materials for useful properties.

One of the processes whereby nature produces molecules having novel functions involves the generation of large collections (libraries) of molecules and the

15   systematic screening of those collections for molecules having a desired property. An example of such a process is the humoral immune system which in a matter of weeks sorts through some 10^{12} antibody molecules to find one which specifically binds a foreign pathogen (Nisonoff et al., *The Antibody Molecule* (Academic Press, New York, 1975)). This notion of generating and screening large libraries of molecules has recently been applied to the drug

20   discovery process.

Applying this logic, methods have been developed for the synthesis and screening of large libraries (up to 10^{14} molecules) of peptides, oligonucleotides and other small molecules. Geysen et al., for example, have developed a method wherein peptide syntheses are carried out in parallel on several rods or pins (*J. Immun. Meth.* 102:259-274

25   (1987), incorporated herein by reference for all purposes). Generally, the Geysen et al. method involves functionalizing the termini of polymeric rods and sequentially immersing the termini in solutions of individual amino acids. In addition to the Geysen et al. method, techniques have recently been introduced for synthesizing large arrays of different peptides and other polymers on solid surfaces. Pirrung et al. have developed a technique for

30   generating arrays of peptides and other molecules using, for example, light-directed, spatially-addressable synthesis techniques (U.S. Patent No. 5,143,854 and PCT Publication No. WO 90/15070, incorporated herein by reference for all purposes). In addition, Fodor et al. have

developed a method of gathering fluorescence intensity data, various photosensitive
protecting groups, masking techniques, and automated techniques for performing
light-directed, spatially-addressable synthesis techniques (Fodor *et al.*, PCT Publication No.
WO 92/10092, the teachings of which are incorporated herein by reference for all purposes).

5   Using these various methods, arrays containing thousands or millions of
different elements can be formed (U.S. Patent Application No. 08/805,727, filed December 6,
1991, the complete disclosure of which is incorporated herein by reference for all purposes).
As a result of their relationship to semiconductor fabrication techniques, these methods
have come to be referred to as "Very Large Scale Immobilized Polymer Synthesis," or

10   "VLSIPS™" technology. Such techniques have met with substantial success in screening
various ligands such as peptides and oligonucleotides to determine their relative binding
affinity to a receptor such as an antibody.

          The solid phase synthesis techniques currently being used to prepare such
libraries involve the sequential coupling of building blocks to form the compounds of interest.

15   For example, in the Pirrung *et al.* method polypeptide arrays are synthesized on a substrate
by attaching photoremovable groups to the surface of the substrate, exposing selected regions
of the substrate to light to activate those regions, attaching an amino acid monomer with a
photoremovable group to the activated region, and repeating the steps of activation and
attachment until polypeptides of the desired length and sequence are synthesized. These solid

20   phase synthesis techniques cannot readily be used to prepare many inorganic and organic
compounds.

          In PCT WO 96/11878, the complete disclosure of which is incorporated herein
by reference, methods and apparatus are disclosed for preparing a substrate with an array of
diverse materials deposited in predefined regions. Some of the methods of deposition

25   disclosed in PCT WO 96/11878 include sputtering, ablation, evaporation, and liquid
dispensing systems. Using the disclosed methodology, many classes of materials can be
generated combinatorially including inorganics, intermetallics, metal alloys, and ceramics.

          In general, combinatorial chemistry refers to the approach of creating vast
numbers of compounds by reacting a set of starting chemicals in all possible combinations.

30   Since its introduction into the pharmaceutical industry in the late 80's, it has dramatically
sped up the drug discovery process and is now becoming a standard practice in the industry
(*Chem. Eng. News* Feb. 12, 1996). More recently, combinatorial techniques have been

successfully applied to the synthesis of inorganic materials (G. Briceno *et al.*, *SCIENCE* 270, 273-275, 1995 and X.D. Xiang *et al.*, *SCIENCE* 268, 1738-1740, 1995). By use of various surface deposition techniques, masking strategies, and processing conditions, it is now possible to generate hundreds to thousands of materials of distinct compositions per square

5   inch. These materials include high T_c superconductors, magnetoresistors, and phosphors. Discovery of heterogeneous catalysts will no doubt be accelerated by the introduction of such combinatorial approaches.

A major difficulty with these processes is the lack of fast and reliable testing methods for rapid screening and optimization of the materials. Recently, a parallel screening

10   method based on reaction heat formation has been reported (F. C. Moates *et al.*, *Ind. Eng. Chem. Res.* 35, 4801-4803, 1996). For oxidation of hydrogen over a metallic surface, it is possible to obtain IR radiation images of an array of catalysts. The hot spots in the image correspond to active catalysts and can be resolved by an infrared camera.

Screening large arrays of materials in combinatorial libraries creates a number

15   of challenges for existing analytical techniques. For example, traditionally, a heterogeneous catalyst is characterized by the use of a micro-reactor that contains a few grams of porous-supported catalysts. Unfortunately, the traditional method cannot be used to screen a catalyst library generated with combinatorial methods. First, a heterogeneous catalyst library synthesized by a combinatorial chemistry method may contain from a few hundred to many

20   thousands of catalysts. It is impractical to synthesize a few grams of each catalyst in a combinatorial format. Second, the response time of micro-reactors is typically on the order of a few minutes. The time it takes to reach equilibrium conditions is even longer. It is difficult to achieve high-throughput screening with such long response times.

Another challenge with screening catalyst arrays is the low concentration of

25   components that may be present in the reactions. For example, oxidation of ethylene to ethylene oxide can be carried out over a silver-based catalyst (S. Rebsdat *et al.*, *U.S. Patent Nos. 4,471,071* and *4,808,738*). For a surface-supported catalyst with an area of 1 mm by 1 mm and the same activity as the industrial catalyst, only about 10 parts per billion (ppb) of ethylene are converted into the desired ethylene oxide when the contact time is one second.

30   Detection of such low component levels in the presence of several atmospheres of reaction mixture is a challenge to analytical methods. Many analytical techniques, including optical methods such as four-wave mixing spectroscopy and cavity ring-

down absorption spectroscopy as well as conventional methods such as GC/MS, are excluded because of poor sensitivities, non-universal detectability, and/or slow response. Therefore an apparatus and methodology for screening a substrate having an array of materials that differ slightly in chemical composition, concentration, stoichiometry, and/or thickness is desirable.

5

SUMMARY OF THE INVENTION

The present invention provides methods and apparatus for interrogating an array of diverse materials located at predefined regions on a single substrate. Typically, each of the individual materials will be screened or interrogated for one or more properties. Once

10 screened, the individual materials may be ranked or otherwise compared relative to each other with respect to the material characteristics under investigation.

In one aspect of the invention, systems and methods are provided for imaging a library of materials using ultrasonic imaging techniques. The system includes one or more devices for exciting an element of the library such that acoustic waves are propagated

15 through, and from, the element. The acoustic waves propagated from the element are detected and processed to yield a visual image of the library element. The acoustic wave data can also be processed to obtain information about the elastic properties of the library element. In one embodiment of the invention, the acoustic wave detector scans the library in a raster pattern, thus providing a visual image of the entire library.

20 In another aspect of the invention, systems and methods are provided for generating acoustic waves in a tank filled with a coupling liquid. The library of materials is then placed in the tank and the surface of the coupling liquid is scanned with a laser beam. The structure of the liquid surface disturbed by the acoustic wave is recorded, the recorded disturbance being representative of the physical structure of the library. Accordingly, a

25 correspondence between the surface pattern and the geometry and mechanical properties of the library can be constructed.

In another aspect of the invention, a probe that includes a mechanical resonator is used to evaluate various properties (e.g., molecular weight, viscosity, specific weight, elasticity, dielectric constant, conductivity, etc.) of the individual liquid elements of a

30 library of materials. The resonator is designed to ineffectively excite acoustic waves. The frequency response of the resonator is measured for the liquid element under test, preferably as a function of time. By calibrating the resonator to a set of standard liquids with known

properties, the properties of the unknown liquid can be determined. An array of library elements can be characterized by a single scanning transducer or by using an array of transducers corresponding to the array of library elements.

A further understanding of the nature and advantages of the inventions herein
5   may be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is an illustration of a transducer-lens system for imaging a library of
10   elements;

Fig. 2 illustrates an ultrasonic imaging system utilizing a piezoelectric transducer array;

Fig. 3 illustrates the oscillation mode of a tuning fork resonator;

Fig. 4 illustrates the oscillation mode of a bimorph/unimorph resonator;
15   Fig. 5 illustrates an embodiment of the invention used to determine the average molecular weight of polystyrene in toluene during polymerization;

Fig. 6 is a graph of the frequency response of a tuning fork resonator for pure toluene and four different molecular weights of polystyrene;

Fig. 7 is a graph of a calibration curve corresponding to the data shown in Fig.
20   6;

Fig. 8 illustrates an embodiment of the invention used for high throughput screening of catalyst combinatorial libraries; and

Fig. 9 is a simplified circuit diagram for a multiplexed control circuit suitable for use with the embodiment shown in Fig. 8.
25

DETAILED DESCRIPTION OF THE INVENTION
AND PREFERRED EMBODIMENTS

Glossary

5   The following terms are intended to have the following general meanings as
used herein.

           Substrate: A substrate is a material having a rigid or semi-rigid surface. In many
embodiments at least one surface of the substrate will be substantially flat. In some
10   embodiments the substrate will contain physical separations between synthesis regions for
different materials. Suitable physical separations include, for example, dimples, wells, raised
regions, and etched trenches. According to other embodiments, small beads or pellets may be
provided on the surface, either alone or within substrate surface dimples. The surface area of
the substrate is designed to meet the requirements of a particular application. Typically, the
15   surface area of the substrate is in the range of 1 cm^2 to 400 cm^2. However, other sizes may be
used with the present invention; for example surface areas as small as 0.001 cm^2 or as large as
10 m^2 are possible.

           Predefined Region: A predefined region is a localized area on a substrate that is,
20   was, or is intended to be used for the formation of a specific material. The predefined region
may be referred to, in the alternative, as a "known" region, a "reaction" region, a "selected"
region, or simply a "region." The predefined region may have any convenient shape, e.g.,
linear, circular, rectangular, elliptical, or wedge-shaped. Additionally, the predefined region
can be a bead or pellet which is coated with the component(s) of interest. In this
25   embodiment, the bead or pellet can be identified with a tag, such as an etched binary bar code,
that can be used to identify which components were deposited on the bead or pellet. The area
of the predefined regions depends on the application and is typically smaller than about 25
cm^2. However, the predefined regions may be smaller than 10 cm^2, smaller than 5 cm^2,
smaller than 1 cm^2, smaller than 1 mm^2, smaller than 0.5 mm^2, smaller than 10,000 μm^2,
30   smaller than 1,000 μm^2, smaller than 100 μm^2, or even smaller than 10 μm^2.

           Radiation: Radiation refers to energy with a wavelength between 10^{-14} and 10^4.
Examples of such radiation include electron beam radiation, gamma radiation, x-ray radiation,

ultraviolet radiation, visible light, infrared radiation, microwave radiation, and radio waves. Irradiation refers to the application of radiation to a surface or an object.

Component: Component is used herein to refer to each of the individual substances

5   that are deposited onto a substrate. Components can act upon one another to produce a particular material. Components can react directly with each other or with an external energy source such as radiation, an electric field, or a magnetic field. A third material or a chemical substance can also act upon components. A component can be an element, a chemical, a material, or a mixture of elements and chemicals. Components can form layers, blends or

10   mixtures, or combinations thereof.

Source Material: The term source material is used herein to refer to the original material from which a component was derived. Source materials can be composed of elements, compounds, chemicals, molecules, _etc._ that are dissolved in a solvent, vaporized,

15   evaporated, boiled, sublimed, ablated, _etc._, thus allowing the source materials to deposit onto a substrate during the synthesis process.

Resulting Material: The term resulting material is used herein to refer to the component or combination of components that have been deposited onto a predefined region

20   of a substrate. The resulting materials may comprise a single component, or a combination of components that have reacted directly with each other or with an external source. Alternatively, the resulting material may comprise a layer, blend or mixture of components on a predefined region of the substrate. The resulting materials are screened for specific properties or characteristics to determine their relative performance.

25

Mixture or Blend: The term mixture or, interchangeably, blend refers to a collection of molecules, ions, electrons, or chemical substances. Each component in the mixture can be independently varied. A mixture can consist of two or more substances intermingled with no constant percentage composition, wherein each component may or may not retain its essential

30   original properties, and where molecular phase mixing may or may not occur. In mixtures, the components making up the mixture may or may not remain distinguishable from each other by virtue of their chemical structure.

Layer: The term layer is used herein to refer to a material that separates one material, component, substrate or environment from another. A layer is often thin in relation to its area and covers the material beneath it. A layer may or may not be thin or flat, but once it is

5 deposited it generally covers the entire surface such that it separates the component or substrate below the layer from the component or environment above the layer.

Heterogeneous catalysts: Heterogeneous catalysts enable catalytic reactions to occur with the reactants and catalysts residing in different phases. As used herein, heterogeneous

10 catalysts include, but are not limited to, mixed metal oxides, mixed metal nitrides, mixed metal sulfides, mixed metal carbides, mixed metal fluorides, mixed metal silicates, mixed metal aluminates, mixed metal phosphates, nobel metals, zeolites, metal alloys, intermetallic compounds, inorganic mixtures, inorganic compounds, and inorganic salts.

15 Homogeneous catalysts: Homogeneous catalysts enable catalytic reactions to occur with the reactants and catalysts residing in the same phase. As used herein, homogeneous catalysts include, but are not limited to, catalysts for the polymerization of one or more olefinic or vinyl monomers. The olefinic monomers include, but are not limited to, ethylene or alpha-olefins containing from 3 to 10 carbon atoms, such as propylene, 1-butene, 1-

20 pentane, 1-hexene, and 1-octene. The vinyl monomers include, but are not limited to, vinyl chloride, vinyl acetate, vinyl acrylate, methylmethacrylate, methyl vinyl ether, ethyl vinyl ether and acetonitrile. The catalysts employed to carry out a polymerization of one or more monomers of this type include, but are not limited to, radical catalysts, cationic catalysts, anionic catalysts, and anionic coordination catalysts.

25

Generating Arrays of Materials

Generally, an array of materials is prepared by successively delivering components of the materials to predefined regions on a substrate, and simultaneously reacting

30 the components to form at least two materials or, alternatively, the components are allowed to interact to form at least two materials. In one embodiment, for example, a first component of a first material is delivered to a first predefined location on a substrate, and a first

component of a second material is delivered to a second predefined region on the same substrate. Simultaneously with or thereafter, a second component of the first material is delivered to the first region on the substrate, and a second component of the second material is delivered to the second region on the substrate. Each component can be delivered in either

5   a uniform or gradient fashion to produce either a single stoichiometry or, alternatively, a large number of stoichiometries within a single predefined region. The process is repeated, with additional components, to form a vast array of components at predefined locations on the substrate. Thereafter, the components are simultaneously reacted to form at least two materials or, alternatively, the components interact to form at least two materials. As

10 described herein, the components can be sequentially or simultaneously delivered to the predefined regions on the substrate using any of a number of different delivery techniques.

Numerous combinatorial techniques can be used to synthesize the various arrays of diverse materials on the substrate according to the present invention. For example, in one embodiment a first component of a first and second material is delivered to the

15 predefined regions on the substrate. Then a second component of the first and second materials is delivered to the predefined regions on the substrate. This process continues for the other components (e.g., third, fourth, fifth, etc. components) and/or the other materials (e.g., third, fourth, fifth, etc. materials) until the array is complete. In another embodiment, the array is formed as previously described, but the resulting materials are formed

20 immediately as the components contact each other on the substrate. In yet another embodiment, the array is formed as previously described, but after the various components are delivered to the substrate, a processing step is carried out which allows or causes the components to interact. In still another embodiment, two or more components are delivered to the predefined regions on the substrate using fast sequential or parallel delivery techniques

25 such that the components interact with each other before contacting the substrate.

Essentially, any conceivable substrate can be employed in the invention. The substrate can be organic, inorganic, biological, nonbiological, or a combination thereof. The substrate can exist in a variety of forms utilizing any convenient shape or configuration. The substrate preferably contains an array of depressions or wells in which the synthesis of the

30 library takes place. The substrate preferably forms a rigid support on which to carry out the reactions described herein. The substrate may be any of a wide variety of materials including, for example, polymers, plastics, pyrex, quartz, resins, silicon, silica or silica-based materials,

carbon, metals, inorganic glasses, inorganic crystals, and membranes. Upon review of this disclosure, other substrate materials will be readily apparent to those of skill in the art. Surfaces on the solid substrate can be composed of the same materials as the substrate or, alternatively, they can be different (*i.e.*, the substrates can be coated with a different material).

5   Moreover, the substrate surface can contain thereon an adsorbent (for example, cellulose) to which the components of interest are delivered. The most appropriate substrate and substrate-surface materials will depend on the class of materials to be synthesized and the selection in any given case will be readily apparent to those of skill in the art.

Generally, physical masking systems can be employed in combination with

10   various deposition techniques in order to apply components onto the substrate, preferably in an array of wells, in a combinatorial fashion. Thus arrays of resulting materials are created within predefined locations or wells on the substrate. The arrays of resulting materials will usually differ in composition and stoichiometry. Although the components are typically dispensed in the form of a liquid, one or more components may be dispensed in the form of a

15   gas or a powder. Therefore primarily solution phase deposition techniques are used including, for example, sol/gel methods, discrete liquid dispensing techniques (*e.g.* pipettes, syringes, ink jets, *etc.*), spin coating with lithography, microcontact printing, spraying with masks and immersion impregnation. Other techniques may be used, however, such as sputtering, electron-beam and thermal evaporation, laser deposition, ion beam deposition,

20   chemical vapor deposition, and spray-coating. Dispenser systems can be manual or, alternatively, they can be automated using, for example, robotics techniques. A description of systems and methods for generating arrays of materials can be found in commonly assigned, co-pending patent applications "The Combinatorial Synthesis Of Novel Materials", Publication No. WO 95/13278, filed October 18, 1995; "Systems and Methods for the

25   Combinatorial Synthesis of Novel Materials," patent application Serial No. 08/841,423, filed April 22, 1997; and "Discovery of Phosphor Materials Using Combinatorial Synthesis Techniques," provisional patent application Serial No. 60/039,882, filed March 4, 1997; the complete disclosures of which are incorporated herein by reference for all purposes.

In some embodiments of the present invention, after the components have

30   been deposited onto or within predefined regions on a substrate, they are reacted using a number of different techniques. For example, the components can be reacted using solution based synthesis techniques, photochemical techniques, polymerization techniques, template

directed synthesis techniques, epitaxial growth techniques, by the sol-gel process, by thermal,
infrared or microwave heating, by calcination, sintering or annealing, by hydrothermal
methods, by flux methods, by crystallization through vaporization of solvent, etc.
Furthermore, each predefined region on the substrate can be heated simultaneously or

5   sequentially using heat sources such as focussed infrared radiation, resistive heating, etc.
Reactants can, for example, be dispensed to the library of elements in the form of a gas or a
liquid. Other useful techniques that can be used to react the components of interest will be
readily apparent to those of skill in the art. Additionally, components can react with each
other instantly, upon contacting each other, or in the air before contacting the substrate.

10   Once prepared, the array of resulting materials can be screened for useful
properties and/or the resulting materials can be ranked, or otherwise compared, using the
methods described herein. Either the entire array or, alternatively, a section thereof (e.g., a
row of predefined regions) can be screened using parallel or fast sequential screening. The
area and/or volume of the predefined regions varies, as does the number and density of

15   regions per substrate, depending upon the specific intended application. Similarly, the
number of different materials contained within an array also varies with the intended
application. Resulting materials include, but are not limited to, liquids, dissolved organic or
inorganic molecules, non-biological organic polymers, polymers partially or fully dissolved in
a solvent, covalent network solids, ionic solids and molecular, inorganic materials,

20   intermetallic materials, metal alloys, ceramic materials, organic material, organometallic
materials, composite materials (e.g., inorganic composites, organic composites, or
combinations thereof), and homogeneous or heterogeneous catalysts.

             Given the chemical complexity of catalytic systems, the lack of predictive
models, the number of possible combinations of metals, counterions, ligands, and supports,

25   and the time consuming process of evaluating the performance of each catalyst formulation
utilizing conventional laboratory pilot reactors, it is not surprising that the search for the
optimum catalyst is a time consuming and inefficient process. Thus, a combinatorial
approach to the discovery and optimization of catalytic systems, which combines the synthesis
of catalyst libraries with the screening tools of this invention, is useful for accelerating the

30   pace of research in this field. The catalyst libraries of the present invention can include
organic (e.g., catalytic antibodies), organometallic, heterogeneous or solid state inorganic
array elements. For purposes of this invention, a catalyst is defined as any material that

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accelerates the rate of a chemical reaction and which is either not consumed during the
reaction or which is consumed at a rate slower (on a molar basis) than the reaction that is
being catalyzed. Organometallic catalyst libraries which can be screened for useful catalytic
properties include, but are not limited to, those described in co-pending U.S. Patent

5   Application Serial No. 08/898,715, filed July 22, 1997, which is hereby incorporated by
reference for all purposes.

                              Ultrasonic Imaging

10   In this aspect of the invention, systems and methods are provided for imaging
libraries of materials with ultrasonic imaging techniques. In a first embodiment, an acoustic
apparatus and method for imaging of a library of materials is provided. The apparatus
includes a device for generating acoustic waves that can propagate into a member or element
of interest within a library and a detector for sensing the propagation and reflection of the

15   acoustic waves from the library elements. The source and the detector of acoustic waves may
be the same apparatus, typically a piezoelectric crystal. After detecting the acoustic waves
propagated from the element, the library and the acoustic wave detector are moved relative to
one another, preferably in a raster scanning pattern. The magnitude and phase of the detected
acoustic waves and the corresponding scan pattern of the library are recorded so that visual

20   images of the library can be obtained. In addition, by processing the obtained data in
accordance with a model of sample-acoustic beam interaction, information about the elastic
properties of individual library members can be calculated. From the relative elastic
properties of elements in the library, relative measures of such properties as molecular weight,
branching, and co-monomer content may be inferred.

25   In a second embodiment of the invention, acoustic waves are generated in a
tank filled with a coupling liquid using a conventional multi-element ultrasound imaging head
or one of custom design. The library of elements is placed within the tank such that acoustic
waves move from the transducer through the fluid, across the substrate, and into the elements
of the library. The reflections from each interface and from within the individual library

30   elements are recorded by the ultrasound transducer head. Material properties can be
calculated from the recorded temporal pattern. Alternatively, the structure or morphology of
the surface of the library elements, or a liquid interface deposited on top of them, may be
recorded using a laser probe or other imaging system. Furthermore, since the recorded

disturbance is representative of the physical structure of the library, a correspondence between the surface pattern and the geometry and mechanical properties of the library can be constructed. Lastly, the collected data can be used to derive microscopic properties of individual elements of the library, for example, sound velocity and attenuation as a function of

5   element position can be derived.

        In a third embodiment of the invention, an acoustic lens excites acoustic waves within elements of the material array. The excited acoustic waves are in a form of short pulses. The magnitude of the echoes produced by the acoustic waves is measured, as is the time delay between the excitation pulses and the echoes from the liquid-material and material-

10   substrate interfaces. The library and the acoustic wave detector are moved relative to one another in a raster scanning pattern and the collected data is recorded. Based upon the collected data an acoustic image of the library can be generated. The time-resolved image can give valuable information about library topography. For example, the first echo provides information related to the impedance mismatching on the element-coupling liquid interface

15   and the second echo provides information about the sound velocity distribution in the element material.

        In a fourth embodiment of the invention, individual piezoelectric transducers are integrated into the substrate. Typically the transducers are fabricated into the substrate using standard fabrication techniques. The library elements are then deposited onto the

20   substrate such that each individual library element corresponds to an individual piezoelectric transducer. The transducers serve the dual function of exciting the acoustic wave and receiving the return wave.

        Fig. 1 is an illustration of a transducer-lens system coupled to a library. The library is comprised of an array of elements 101 contained within or on a substrate 103.

25   Substrate 103 is coupled to a tank 105 containing a coupling medium 107. Coupling medium 107, selected on the basis of its acoustic properties, is selected from a variety of liquids, for example, water, mercury, etc. A transducer-lens system 109 provides the acoustic waves that pass through liquid 107 and are coupled into elements 101 and substrate 103. Transducer-lens system 109 is also used to measure the magnitude and time delay between the excitation

30   pulses and the echoes. If desired, the excitation transducer may be separate from the receiving transducer. As described above, transducer-lens 109 is scanned across the array in

order to obtain information about the entire array. Alternatively, an array of transducer-
lenses may be used (not shown) as is used in conventional ultrasound imaging.

        Fig. 2 illustrates a combinatorial library synthesized on a substrate consisting
of integrated piezoelectric transducers. In the preferred embodiment, the individual

5   piezoelectric transducers 201 comprising the transducer array are directly incorporated into
substrate 103. Each transducer 201 is aligned such that it is directly adjacent to a
corresponding library element 101. Transducers 201 serve as both the transmitters and
receivers of the acoustic energy. The output signals from transducers 201 can be multiplexed
for serial readout. In an alternative embodiment, transducers 201 are mounted onto a

10   separate substrate (not shown) that is brought into contact with substrate 103. In another
alternative embodiment, substrate 103 is formed of a piezoelectric material and electrodes are
attached directly under each library element (not shown).

Mechanical Oscillator Probes

15

        Although ultrasonic transducers can be used to determine a variety of material
properties, this technique is not suitable for all liquids. Typically the size of the transducer
and the cell should be much greater than the acoustic wavelength, otherwise the diffraction
effects and steady waves within the cell become too complicated. For a cell on the order of a

20   few centimeters, the frequency should be above 1 MHz. However complex liquids and
solutions, such as polymer solutions, often behave like elastic gels at high frequencies due to
their relaxation time corresponding to significantly lower frequencies.

        Shear-mode transducers as well as various surface-wave transducers can be
used to avoid some of the problems associated with typical ultrasonic transducers. Since

25   leaky surface acoustic waves decay exponentially with the distance from the sensor surface,
such sensors tend to be insensitive to the geometry of the measurement volume, thus
eliminating most diffraction and reflection problems. Furthermore, such sensors are cheap,
reproducible, and can be used to construct high throughput screening devices. Unfortunately
the operation frequency of these sensors is also high, thus restricting their applicability as

30   mentioned above. Moreover, at such frequencies only a very thin layer of liquid near the
sensor surface will influence the response of the sensor. Thus modification of the surface of
the sensor through adsorption of solution components will often result in dramatic changes in
properties associated with the sensor.

16

To eliminate the effects of diffraction, acoustic wave interference, and measurement cell geometry, it is preferable to use a transducer or sensor that does not excite acoustic waves. A sensor that is much smaller than the wavelength accomplishes these goals, providing an oscillator that ineffectively excites acoustic waves in the surrounding media.

5   Designing the different parts of the sensor to oscillate in opposite phases can enhance this effect. In such a resonator most of the mechanical energy associated with the oscillation dissipates due to the viscosity, both shear and bulk, of the liquid involved in the oscillatory motion. The sensor produces a hydrodynamic flow velocity field that decays with the distance from sensor. Thus liquid at a distance a few times greater than the sensor dimension

10   remains practically unperturbed. If the measurement cell is large enough to contain the field of perturbation, the device becomes insensitive to the cell geometry. Examples of suitable oscillators include piezoceramic and quartz resonators embodied in the form of a tuning fork, a unimorph, or a bimorph. Figs. 3 and 4 illustrate the oscillation modes of tuning fork and bimorph/unimorph resonators, respectively.

15   Typically a system according to the invention uses an AC voltage source to excite oscillation of the resonator. The system also includes a receiver which measures the frequency response of the resonator in the liquid under test. The response of the resonator varies depending upon the viscosity, specific weight, and elasticity of the liquid under test. In some cases the dielectric constant and the conductivity of the liquid can influence the

20   response of the resonator. If properties of the liquid vary with time, the response of the resonator will similarly vary. By calibrating the resonator to a set of standard liquids with known properties, the properties of an unknown liquid can be determined from the response of the resonator.

In one embodiment of the invention illustrated in Fig. 5, a tuning fork

25   resonator system 500 is used to monitor the average molecular weight of polystyrene in toluene solutions during polymerization reactions. This configuration is not limited to this polymerization reaction, rather, the polymerization reaction is simply used as an example of an application of this embodiment. The monitoring of the forming polymer's properties in the presence of a polymerization catalyst and possibly a solvent is essential in order to estimate

30   catalytic activity and conversion rate.

In use, a tuning fork resonator 501 is placed within a well 503 containing the liquid to be tested. Preferably well 503 is one well of a plurality of wells contained within an

array. Resonator 501 is typically coupled to a probe and the probe is scanned from sample well to sample well in a raster fashion. Alternatively, an array of resonator probes can be fabricated corresponding to the array of wells or some subset thereof, thus allowing a large number of wells to be simultaneously tested. In the embodiment illustrated in Fig. 5, a network analyzer 505, such as a HP8751A Analyzer, is used to excite the resonator oscillations and to receive the response of the oscillator at various frequencies. Resonator response is then recorded as a function of excitation frequency. The output signal of resonator 501 passes through a high impedance buffer amplifier 507 prior to being measured by the analyzer's wide band receiver 509.

System 500 was calibrated using a set of standard solutions of polystyrene at a constant concentration of 52 mg/ml. In pure toluene, the frequency of the resonator fundamental mode was 28 kHz. Fig. 6 is a graph of the frequency responses of resonator 501 for pure toluene and four different molecular weights of polystyrene. The distance between the frequency response curve for toluene and an i-polymer solution was calibrated using the set of different molecular weights. This distance is given by:

$$d_i = \left(\frac{1}{f_1 - f_0} \int_{f_0}^{f_1} (R_0 - R_i)^2 df \right)^{\frac{1}{2}}$$

where f_0 and f_1 are the start and stop frequencies, respectively, R_0 is the frequency response of the resonator in toluene, and R_i is the resonator response in the i-polymer solution.

Fig. 7 is a graph of a calibration curve corresponding to this data. The test points and associated error bars are indicated on this curve. Obviously additional calibration curves can be taken as necessary. The graph of Fig. 7 shows that for this particular resonator design, the best accuracy is achieved for molecular weights in the range of 10,000 to 100,000.

To monitor a polymerization reaction, resonator probe 501 is placed in a measurement well 503 filled with pure toluene and the catalyst. The frequency response of the sensor for this solution is recorded. Resonator probe 501 is then placed in a measurement well 503 filled with toluene in which a low molecular weight polystyrene has been dissolved. After the catalyst is added, the frequency response of the resonator is recorded at intervals, typically between 10 and 30 seconds. The distance of the response curve for the polymer from that of pure toluene is then calculated in accordance with the formula given above. The molecular weight of the polymer is calculated using the calibration

curve of Fig. 7.

As discussed above, depending upon the liquid to be tested, other resonator designs may be used. For example, to improve the suppression of acoustic waves, a tuning fork resonator with four tines can be used. It is also possible to excite resonator oscillations

5   through the use of voltage spikes instead of a frequency sweeping AC source. In this case the decaying free oscillations of the resonator are recorded instead of the frequency response. A variety of signal processing techniques well known by those of skill in the art can be used.

Fig. 8 illustrates an embodiment of the invention that can be used for high throughput screening of catalyst combinatorial libraries. The embodiment monitors the

10   molecular weight and concentration, if necessary, of a polymer in a solution in the presence of different catalysts while the reactions are running. Preferably the system also includes means for monitoring the heat generated during the reactions. Thus hundreds of catalysts can be evaluated in a single experiment for such characteristics as selectivity, conversion rate, etc.

An array of measurement wells 801 is contained within a substrate 803.

15   Within each well 801 is a resonator 805 for molecular weight determination and a thermistor 807 for heat of reaction determination. Preferably contacts 809 for resonator 805 and thermistor 807 pass through the bottom of substrate 803 where they are connected to the necessary electronics. Much of the electronics can be mounted directly to the bottom of substrate 803, simplifying the overall system design. However, as previously described, the

20   array of resonator probes can also be fabricated as a stand alone array to be placed within the corresponding measurement wells of a combinatorial library array during testing.

The measurement package within each well 801 may also contain an agitator 811 to insure uniform concentration distribution within the well. Typically agitator 811 is not required if well 801 is small enough to promote rapid concentration leveling due to diffusion.

25   Besides monitoring the heat generated during the reactions, thermistors 807 may also be used to preheat the media within wells 801 up to a predefined temperature and to keep the temperature at the same level during the reactions. In a specific embodiment, a thermostatically controlled cooling liquid 813 passes between the walls of wells 801, thus providing a steady heat transfer from wells 801.

30   Fig. 9 is a simplified circuit diagram for a multiplexed control circuit suitable for use with the embodiment shown in Fig. 8. Although only three measurement cells 901 are shown, this control circuit can be used to multiplex a large array of cells. The output of a

resonator 903 passes through a local buffer amplifier 905 before being multiplexed into a data acquisition system 907. Coupled to each thermistor 909 is a thermostat 911. The heat produced by a reaction causes local thermostat 911 to drop down the voltage across thermistor 909 to keep its temperature at the same level. As with the resonator output, this

5   voltage is multiplexed and acquired by data acquisition system 907. Thus the heat production given by each reaction can be easily calculated at any time, providing information about the activity of a particular catalyst. The data acquired by system 907 is processed by processor 913 and presented to the user via monitor 915. The data may also be stored in memory resident within processor 913. From this data the reactions occurring in the various wells

10   may be simultaneously characterized.

In an alternate embodiment, multiple resonators are used within each single well of an array. The multiple resonators typically have a different resonance frequency and/or geometry. This embodiment offers several advantages to the previous embodiment utilizing a single resonator per well. First, the dynamic sensing range of the system may be

15   greatly extended since each of the individual resonators may be designed to cover a different frequency range. Second, the sensitivity over the sensing range may be enhanced since each resonator may be designed to be sensitive to a different frequency range. For example, the graph illustrated in Fig. 7 shows that for this particular resonator design, the best accuracy was achieved for molecular weights in the range of 10,000 to 100,000. Utilizing the present

20   embodiment, a resonator with the accuracy shown above could be combined in a single sample well with a resonator having improved accuracy in the 100,000 to 1,000,000 range, thus providing superlative sensing capabilities throughout the 10,000 to 1,000,000 range for a single sample well. The signals from the independent resonators may be analyzed using such methods as neural networks, etc.

25   It is understood that the above description is intended to be illustrative and not restrictive. Many embodiments as well as many applications besides the examples provided will be apparent to those of skill in the art upon reading the above description. The scope of the invention should, therefore, be determined not with reference to the above description, but should instead be determined with reference to the appended claims, along with the full

30   scope of equivalents to which such claims are entitled. The disclosures of all articles and references, including patent applications and publications, are incorporated by reference for all purposes.

WHAT IS CLAIMED IS:

1.   A method of determining at least one specific characteristic of each liquid material within an array of liquid materials, comprising:

providing an array of sample wells, said array of liquid materials contained within said array of sample wells;

providing an array of low frequency resonators within said array of sample wells, each of said low frequency resonators designed to minimize excitation of acoustic waves, each of said low frequency resonators calibrated against a set of standard liquids with known properties to develop a set of calibration data corresponding to said resonator, wherein at least one of said known properties corresponds to said specific characteristic;

applying stimulus to said array of resonators, said stimulus causing said resonators to oscillate;

periodically monitoring a response for each of said array of resonators as a function of frequency; and

determining said specific characteristic of each liquid material based on said monitored frequency response and said calibration data.

2.   The method of claim 1, wherein said specific characteristic is selected from the group consisting of molecular weight, viscosity, specific weight, elasticity, dielectric constant, and conductivity.

3.   The method of claim 1, wherein said array of sample wells is a micro-titrate plate.

4.   The method of claim 1, wherein said stimulus is an excitation frequency varying in a predefined manner.

5.   The method of claim 1, wherein said resonators are tuning fork resonators.

6.   The method of claim 1, further comprising introducing at least one catalyst to said array of sample wells prior to applying stimulus to said array of resonators.

1   7.   The method of claim 1, wherein said array of liquid materials is a
2   combinatorial array.

1   8.   The method of claim 1, further comprising monitoring an amount of
2   heat generated within each sample well as a function of time.

1   9.   The method of claim 1, further comprising agitating said array of liquid
2   materials during said monitoring step.

1   10.   The method of claim 9, wherein said agitating step is performed by an
2   array of individual agitators within said array of sample wells.

1   11.   The method of claim 1, wherein said stimulus is a voltage spike.

1   12.   The method of claim 1, said array of liquid materials further
2   comprising an array of gels.

1   13.   The method of claim 1, further comprising the steps of:
2   providing a second array of low frequency resonators within said array of
3   sample wells, each of said second array of low frequency resonators designed to minimize
4   excitation of acoustic waves, each of said second array of low frequency resonators calibrated
5   against a set of standard liquids with known properties to develop a second set of calibration
6   data;
7   applying stimulus to said second array of resonators, said stimulus causing said
8   resonators to oscillate;
9   periodically monitoring a response for each of said second array of resonators
10   as a function of frequency; and
11   determining a second specific characteristic of each liquid material based on
12   said monitored frequency response and said second set of calibration data.

1   14.   A method of determining at least one specific characteristic of each

2   liquid material within an array of liquid materials, comprising:

3   providing an array of sample wells, said array of liquid materials contained

4   within said array of sample wells;

5   providing an array of low frequency resonators within said array of sample

6   wells, each of said low frequency resonators designed to minimize excitation of acoustic

7   waves, each of said low frequency resonators calibrated against a set of standard liquids with

8   known properties to develop a set of calibration data corresponding to said resonator,

9   wherein at least one of said known properties corresponds to said specific characteristic;

10   applying stimulus to said array of resonators, said stimulus causing said

11   resonators to oscillate;

12   periodically monitoring a response for each of said array of resonators as a

13   function of time; and

14   determining said specific characteristic of each liquid material based on said

15   monitored time response and said calibration data.

1   15.   A method of determining at least one specific characteristic of each

2   liquid material within an array of liquid materials, comprising:

3   providing an array of sample wells, said array of liquid materials contained

4   within said array of sample wells;

5   inserting a low frequency resonator into a first sample well of said array of

6   sample wells, said low frequency resonator designed to minimize excitation of acoustic

7   waves, said low frequency resonator calibrated against a set of standard liquids with known

8   properties to develop a set of calibration data corresponding to said resonator, wherein at

9   least one of said known properties correspond to said specific characteristic;

10   stimulating said resonator to cause said resonator to oscillate;

11   periodically monitoring a response of said resonator as a function of

12   frequency;

13   determining said specific characteristic of said liquid material within said first

14   sample well based on said monitored frequency response and said calibration data;

15   removing said resonator from said first sample well, inserting said resonator

16   into a second sample well of said array of sample wells, stimulating said resonator, and

17   determining said specific characteristic of said liquid material within said second sample well

18   based on a periodically monitored frequency response of said resonator and said calibration

19   data; and

20   scanning said resonator through said array of liquid materials, repeating said

21   steps of inserting, stimulating, and monitoring in order to determine said specific

22   characteristic of said liquid material within each of said sample wells of said array.

1   16.   The method of claim 15, further comprising the steps of:

2   providing a second low frequency resonator in a single probe with said first

3   low frequency resonator, said second low frequency resonator designed to minimize

4   excitation of acoustic waves, and said second low frequency resonator calibrated against a set

5   of standard liquids with known properties to develop a second set of calibration data

6   corresponding to said second resonator;

7   stimulating said second resonator to cause said second resonator to oscillate;

8   periodically monitoring a response of said second resonator as a function of

9   frequency;

10   determining a second specific characteristic of said liquid material within said

11   first sample well based on said monitored frequency response of said second resonator and

12   said second calibration data;

13   removing said second resonator from said first sample well along with said

14   first resonator, inserting said second resonator into a second sample well of said array of

15   sample wells along with said first resonator, stimulating said second resonator, and

16   determining said second specific characteristic of said liquid material within said second

17   sample well based on a periodically monitored frequency response of said second resonator

18   and said second calibration data; and

19   scanning said second resonator through said array of liquid materials

20   simultaneously with said first resonator, repeating said steps of inserting, stimulating, and

21   monitoring in order to determine said second specific characteristic of said liquid material

22   within each of said sample wells of said array.

1   17.   A method of determining at least one specific characteristic of each

2   liquid material within an array of liquid materials, comprising:

3   providing an array of sample wells, said array of liquid materials contained

4   within said array of sample wells;

5   inserting a low frequency resonator into a first sample well of said array of

6   sample wells, said low frequency resonator designed to minimize excitation of acoustic

7   waves, said low frequency resonator calibrated against a set of standard liquids with known

8   properties to develop a set of calibration data corresponding to said resonator, wherein at

9   least one of said known properties correspond to said specific characteristic;

10   stimulating said resonator to cause said resonator to oscillate;

11   periodically monitoring a response of said resonator as a function of time;

12   determining said specific characteristic of said liquid material within said first

13   sample well based on said monitored time response and said calibration data;

14   removing said resonator from said first sample well, inserting said resonator

15   into a second sample well of said array of sample wells, stimulating said resonator, and

16   determining said specific characteristic of said liquid material within said second sample well

17   based on a periodically monitored time response of said resonator and said calibration data;

18   and

19   scanning said resonator through said array of liquid materials, repeating said

20   steps of inserting, stimulating, and monitoring in order to determine said specific

21   characteristic of said liquid material within each of said sample wells of said array.

1   18.   A method for imaging a combinatorial array of materials, comprising:

2   filling an imaging tank with a coupling fluid;

3   placing said combinatorial array of materials within said coupling fluid;

4   generating an acoustic field within said imaging tank;

5   scanning a surface of said coupling fluid with a laser beam;

6   detecting said laser beam scattered from said surface;

7   recording data corresponding to said detected scattered laser beam, said data

8   representative of a physical structure of said combinatorial array; and

9   deriving characteristics of materials of said array of materials from said

10   recorded data.

1   19.   A method for imaging a combinatorial array of materials, comprising:

2   filling an imaging tank with a coupling fluid;

3   placing said combinatorial array of materials within said coupling fluid;

4   generating an acoustic field within said imaging tank;

5   receiving reflections of said acoustic field from said combinatorial array of

6   materials; and

7   recording data corresponding to said received reflections, said data

8   representative of a physical structure of said combinatorial array; and

9   deriving characteristics of said combinatorial array from said recorded data.

1   20.   A method of imaging a combinatorial array of materials, comprising:

2   applying a source of acoustic energy adjacent to each material of said array of

3   materials;

4   receiving echoes produced by an interaction of said applied acoustic energy

5   and said materials for each material of said array of materials;

6   determining a magnitude of said echoes;

7   determining a time delay between said application of said acoustic energy and

8   said receipt of said echoes; and

9   determining an image of said combinatorial array of materials from said

10   magnitude and said time delay.

1   21.   The method of claim 20, further comprising the step of determining

2   elastic property information for each material of said array of materials based on said

3   magnitude and said time delay.

1   22.   The method of claim 20, wherein said source of acoustic energy is a

2   piezoelectric transducer.

1   23.   The method of claim 20, wherein a single transducer acts as said

2   source of said acoustic energy and a receiver of said echoes, said method further comprising

3   scanning said single transducer across said array of materials and sequentially determining

4   said magnitude and said time delay.

1   24.   The method of claim 20, wherein said acoustic energy is applied to

2   each material of said array of materials through a substrate, said substrate containing said

3   array of materials.

1   25.   The method of claim 20, wherein said source of acoustic energy is a
2   plurality of piezoelectric transducers, said plurality of piezoelectric transducers corresponding
3   to said array of materials.

1   26.   The method of claim 25, wherein said plurality of piezoelectric
2   transducers are integral to a substrate, and wherein said array of materials are deposited onto
3   said substrate.

1   27.   A system for determining at least one specific characteristic of each
2   liquid material within an array of liquid materials, comprising:
3   an array of sample wells, said array of liquid materials contained within said
4   array of sample wells;
5   an array of low frequency resonators within said array of sample wells, each of
6   said low frequency resonators designed to minimize excitation of acoustic waves; each of said
7   low frequency resonators calibrated against a set of standard liquids with known properties to
8   develop a set of calibration data corresponding to said resonator, wherein at least one of said
9   known properties correspond to said specific characteristic;
10   a stimulus source coupled to said array of resonators, wherein stimulus applied
11   to said resonators by said source causes said resonators to oscillate;
12   a receiver coupled to said array of resonators, said receiver periodically
13   monitoring a response from each resonator of said array of resonators as a function of
14   frequency; and
15   a processor coupled to said stimulus source and said receiver, wherein said
16   processor activates said stimulus source for each of said resonators at predefined times and
17   wherein said processor calculates said specific characteristic of each liquid material based on
18   said frequency response and said calibration data.

1   28.   The system of claim 27, said array of liquid materials further
2   comprising an array of gels.

1   29.   The system of claim 27, further comprising a second array of low
2   frequency resonators within said array of sample wells, each of said second array of low

3   frequency resonators designed to minimize excitation of acoustic waves, each of said second

4   array of low frequency resonators calibrated against a set of standard liquids with known

5   properties to develop a second set of calibration data, said second array of resonators coupled

6   to said stimulus source and said array, and wherein said processor activates said stimulus

7   source for each of said second array of resonators at predefined times and wherein said

8   processor calculates a second specific characteristic of each liquid material based on said

9   frequency response of said second array of resonators and said second calibration data.

1   30.   The system of claim 27, wherein said specific characteristic is selected

2   from the group consisting of molecular weight, viscosity, specific weight, elasticity, dielectric

3   constant, and conductivity.

1   31.   The system of claim 27, wherein said array of sample wells is a micro-

2   titrate plate.

1   32.   The system of claim 27, wherein said stimulus source applies an

2   excitation frequency varying in a predefined manner to each resonator.

1   33.   The system of claim 27, wherein said resonators are tuning fork

2   resonators.

1   34.   The system of claim 27, further comprising at least one catalyst

2   introduced to said array of sample wells.

1   35.   The system of claim 34, wherein said array of liquid materials is a

2   combinatorial array.

1   36.   The system of claim 27, further comprising an array of heat regulators

2   corresponding to said array of sample wells.

1   37.   The system of claim 36, wherein said array of heat regulators maintains

2   a constant temperature within said array of sample wells.

1   38.   The system of claim 36, said array of heat regulators comprising an
2   array of thermistors.

1   39.   The system of claim 37, wherein said array of heat regulators is
2   coupled to said receiver, said receiver periodically monitoring an amount of energy required
3   to maintain said constant temperature, and wherein said processor calculates an amount of
4   heat produced as a function of time within each sample well from said monitored amount of
5   required energy.

1   40.   The system of claim 27, further comprising an agitator within each
2   sample well of said array, wherein said agitators provide substantially uniform concentration
3   distribution within each sample well.

1   41.   The system of claim 27, wherein said stimulus source applies a voltage
2   spike to each resonator.

1   42.   A system for determining at least one specific characteristic of each
2   liquid material within an array of liquid materials, comprising:
3   an array of sample wells, said array of liquid materials contained within said
4   array of sample wells;
5   an array of low frequency resonators within said array of sample wells, each of
6   said low frequency resonators designed to minimize excitation of acoustic waves, each of said
7   low frequency resonators calibrated against a set of standard liquids with known properties to
8   develop a set of calibration data corresponding to said resonator, wherein at least one of said
9   known properties correspond to said specific characteristic;
10   a stimulus source coupled to said array of resonators, wherein stimulus applied
11   to said resonators by said source causes said resonators to oscillate;
12   a receiver coupled to said array of resonators, said receiver periodically
13   monitoring a response from each resonator of said array of resonators as a function of time;
14   and
15   a processor coupled to said stimulus source and said receiver, wherein said

16   processor activates said stimulus source for each of said resonators at predefined times and

17   wherein said processor calculates said specific characteristic of each liquid material based on

18   said time response and said calibration data.

1   43.   A system of determining at least one specific characteristic of each

2   liquid material within an array of liquid materials, comprising:

3   an array of sample wells, said array of liquid materials contained within said

4   array of sample wells;

5   a low frequency resonator, said low frequency resonator designed to minimize

6   excitation of acoustic waves, said low frequency resonator calibrated against a set of standard

7   liquids with known properties to develop a set of calibration data corresponding to said

8   resonator, wherein at least one of said known properties correspond to said specific

9   characteristic;

10   a positioning system coupled to said array of sample wells and said low

11   frequency resonator, wherein said positioning system positions said low frequency resonator

12   in relation to said array of sample wells such that said low frequency resonator is sequentially

13   inserted into each of said sample wells;

14   a stimulus source coupled to said resonator, wherein stimulus applied to said

15   resonator by said source causes said resonator to oscillate;

16   a processor coupled to said stimulus source, wherein said processor activates

17   said stimulus source after said resonator is inserted into a sample well of said array of sample

18   wells; and

19   a receiver coupled to said resonator and to said processor, said receiver

20   monitoring a frequency response of said resonator after said resonator receives stimulus from

21   said stimulus source, wherein said processor calculates said specific characteristic of each

22   liquid material based on said frequency response and said calibration data.

1   44.   The system of claim 31, wherein said processor is coupled to said

2   positioning system and controls said positioning system according to a predefined control

3   sequence.

1 45.   A system of determining at least one specific characteristic of each

2 liquid material within an array of liquid materials, comprising:

3 an array of sample wells, said array of liquid materials contained within said

4 array of sample wells;

5 a low frequency resonator, said low frequency resonator designed to minimize

6 excitation of acoustic waves, said low frequency resonator calibrated against a set of standard

7 liquids with known properties to develop a set of calibration data corresponding to said

8 resonator, wherein at least one of said known properties correspond to said specific

9 characteristic;

10 a positioning system coupled to said array of sample wells and said low

11 frequency resonator, wherein said positioning system positions said low frequency resonator

12 in relation to said array of sample wells such that said low frequency resonator is sequentially

13 inserted into each of said sample wells;

14 a stimulus source coupled to said resonator, wherein stimulus applied to said

15 resonator by said source causes said resonator to oscillate;

16 a processor coupled to said stimulus source, wherein said processor activates

17 said stimulus source after said resonator is inserted into a sample well of said array of sample

18 wells; and

19 a receiver coupled to said resonator and to said processor, said receiver

20 monitoring a time response of said resonator after said resonator receives stimulus from said

21 stimulus source, wherein said processor calculates said specific characteristic of each liquid

22 material based on said time response and said calibration data.

1 46.   A system for imaging a combinatorial array of materials, comprising:

2 an imaging tank filled with a coupling fluid, wherein said combinatorial array

3 of materials is submerged within said coupling fluid;

4 a transducer coupled to said coupling fluid, wherein said transducer generates

5 an acoustic field within said imaging tank;

6 a laser generating a laser beam, said laser beam controlled by a beam scanning

7 system, wherein said beam scanning system causes said laser beam to scan a surface of said

8 coupling fluid;

9 a detection system detecting said laser beam after said laser beam scatters off

10 of said surface, said detection system outputting a signal corresponding to said detected

11 scattered laser beam and representative of a physical structure of said combinatorial array;

12 and

13   a processor coupled to said detection system, wherein said processor

14 calculates characteristics of materials of said array of materials based on said output signal.

1   47.   A system for imaging a combinatorial array of materials, comprising:

2   an imaging tank filled with a coupling fluid, wherein said combinatorial array

3 of materials is submerged within said coupling fluid;

4   a transducer coupled to said coupling fluid, wherein said transducer generates

5 an acoustic field within said imaging tank;

6   a detection system receiving reflections of said acoustic field from said

7 combinatorial array, said detection system outputting a signal corresponding to said received

8 reflections and representative of a physical structure of said combinatorial array; and

9   a processor coupled to said detection system, wherein said processor

10 calculates characteristics of materials of said array of materials based on said output signal.

1   48.   A system for imaging a combinatorial array of materials, comprising:

2   an acoustic energy source adjacent to each material of said array of materials,

3 wherein said acoustic energy source supplies acoustic energy to each material at predefined

4 times;

5   a receiver monitoring echoes produced by an interaction of said acoustic

6 energy and said materials for each material of said array of materials; and

7   a processor coupled to said receiver, said processor calculating a magnitude of

8 said echoes and a time delay between an application of said acoustic energy and said receipt

9 of said echoes, wherein said processor determines an image of said array of materials from

10 said magnitude and said time delay.

1   49.   The system of claim 48, wherein said processor determines elastic

2 property information for each material of said array of materials based on said magnitude and

3 said time delay.

1   50.   The system of claim 48, wherein said acoustic energy source is a
2   piezoelectric transducer.

1   51.   The system of claim 48, wherein said acoustic energy source and said
2   receiver is a single transducer, said system further comprising a positioning system coupled to
3   said transducer and said array of materials, said positioning system scanning said single
4   transducer across said array of materials, wherein said processor sequentially calculates said
5   magnitude and said time delay.

1   52.   The method of claim 48, wherein said acoustic energy source is
2   coupled to each material of said array of materials through a substrate, said substrate
3   containing said array of materials.

1   53.   The system of claim 52, wherein said acoustic energy source is a
2   plurality of piezoelectric transducers, said plurality of piezoelectric transducers corresponding
3   to said array of materials, and wherein said plurality of piezoelectric transducers are integral
4   to said substrate.
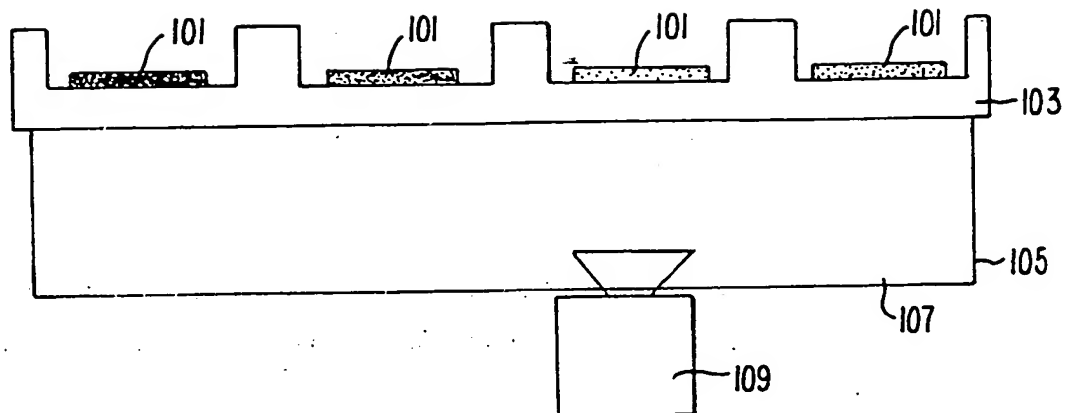
FIG. 1.
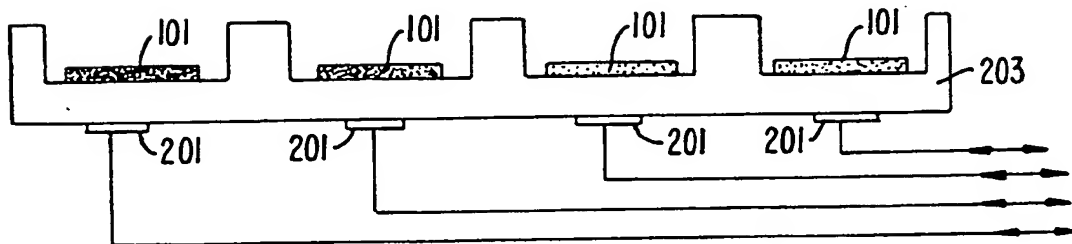
FIG. 2.
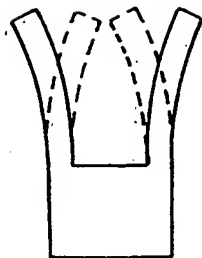
FIG. 3.

FIG. 4.

FIG. 5.

FIG. 6.

FIG. 7.

FIG. 8.

FIG. 9.

PCT
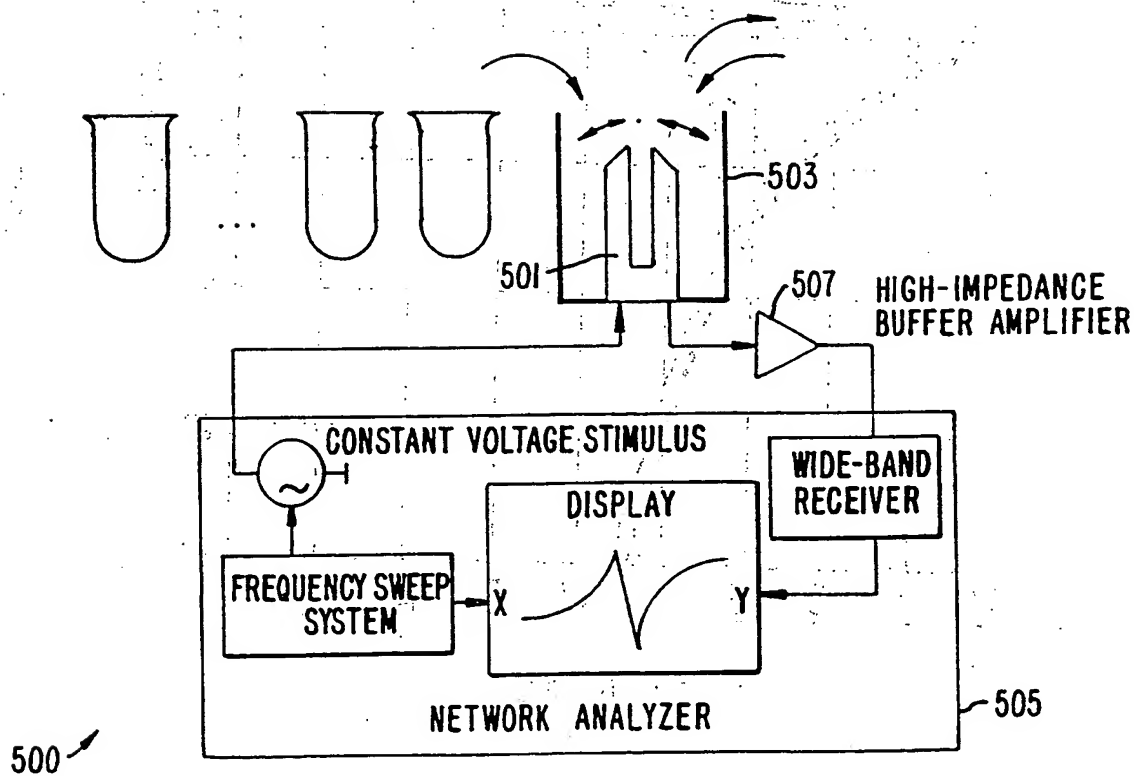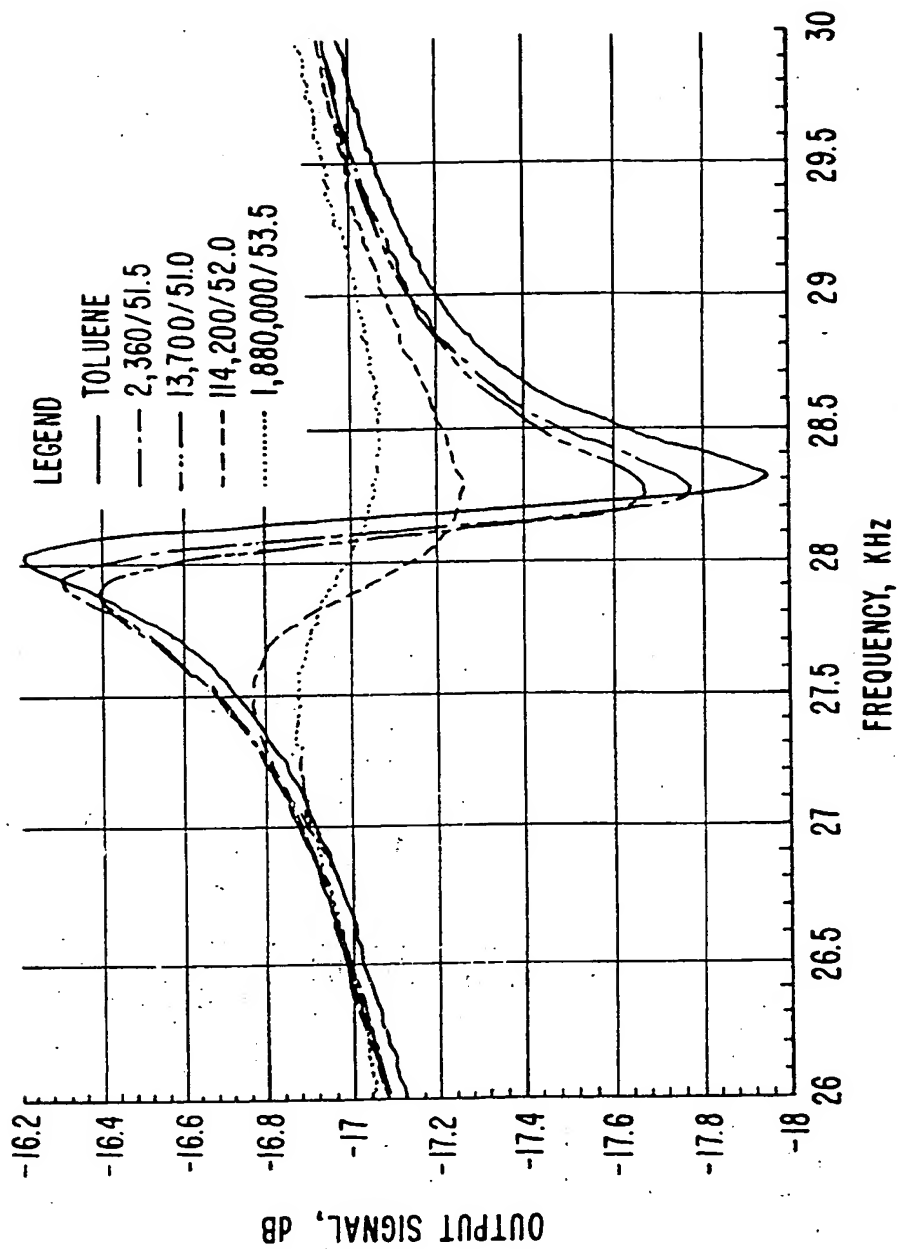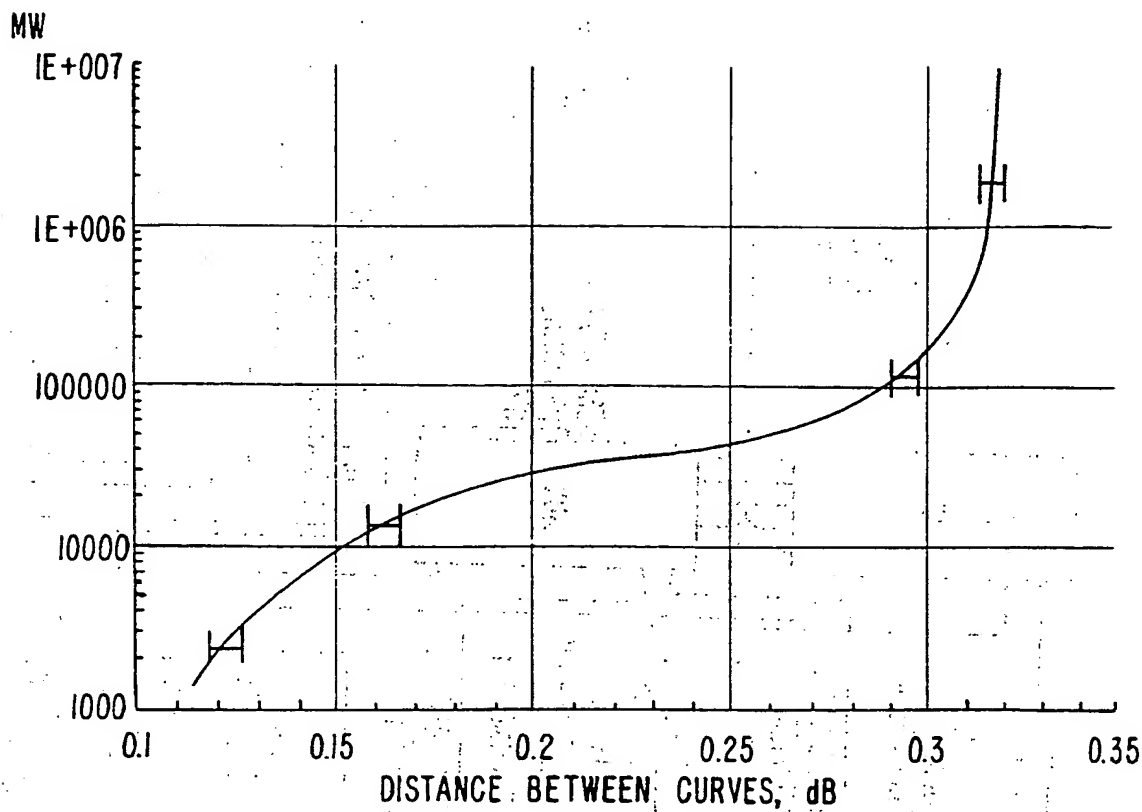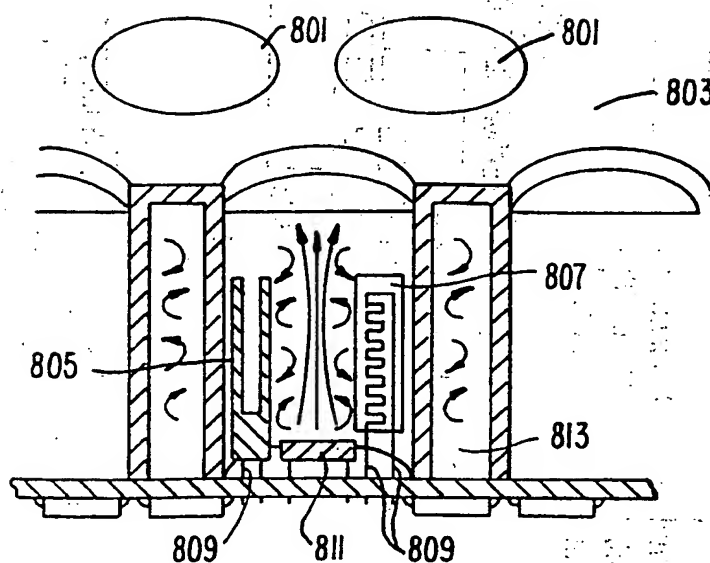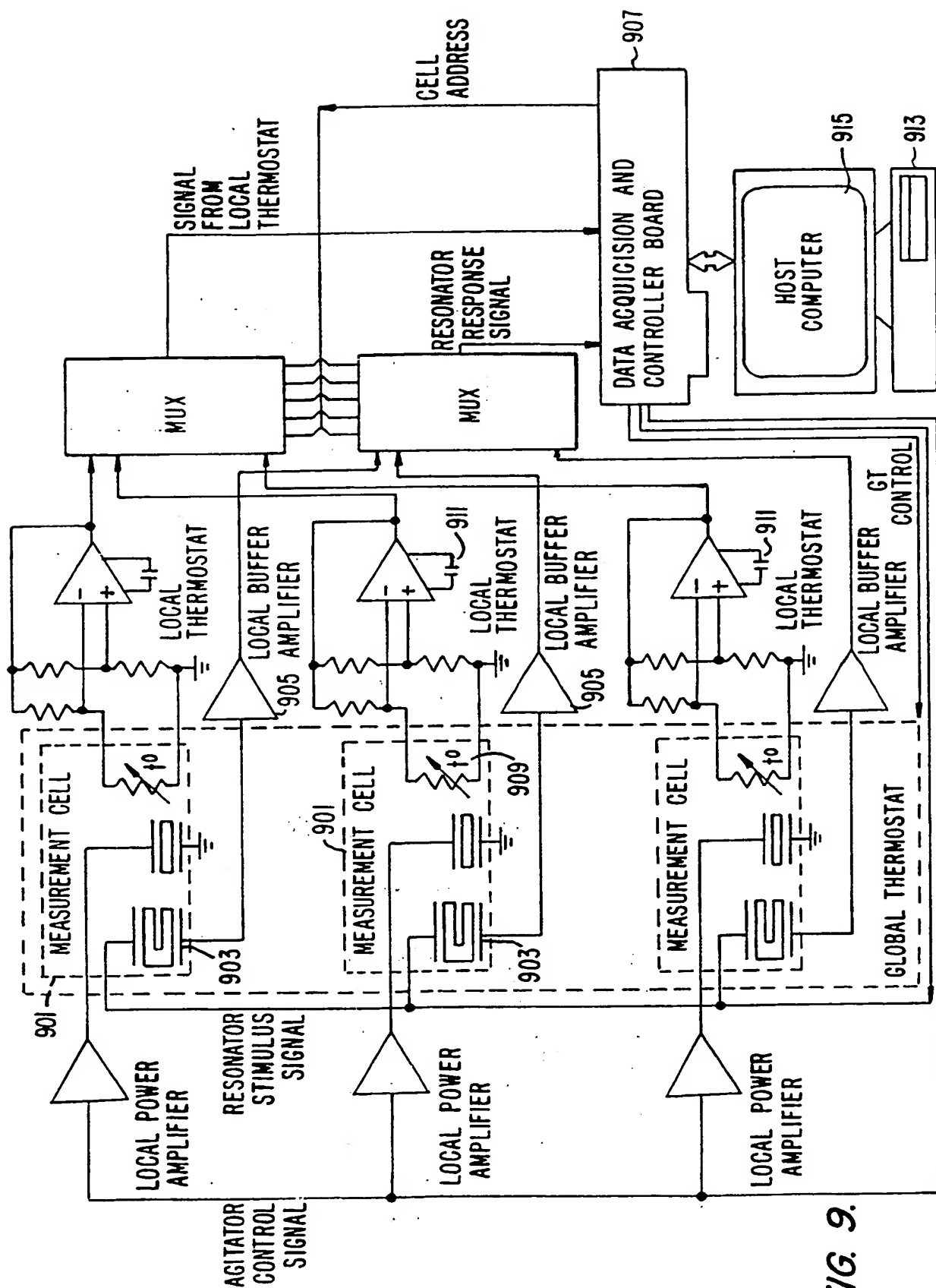
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G01N 29/06, 29/00; G01V 1/28, G01S 9/66 **A3**	(43) International Publication Date:	16 April 1998 (16.04.98)

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60/035,366	10 January 1997 (10.01.97)	US
60/048,987	9 June 1997 (09.06.97)	US
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25 June 1998 (25.06.98)

(54) Title: SYSTEMS AND METHODS FOR CHARACTERIZATION OF MATERIALS AND COMBINATORIAL LIBRARIES WITH MECHANICAL OSCILLATORS

(57) Abstract

Methods and apparatus for screening diverse arrays of materialsa nd for imaging a library of materials are provided using ultrasonic imaging techniques. Systems include tranducer lens (109) or mechanical resonator for exiting an element of library deposited onto a substrate (103) such that acoustic waves are propagated through, and from, the library element (101). The acoustic waves propagated from the element are detected and processed to yield a visual image of the library element; such acoustic wave data is processed to obtain information about various properties of the library elements (e.g. elasticity, molecular weight, viscosity, specific weight, dielectric properties, conductivity, etc.) of individual liquid elements. Acoustic waves are generated in an imaging tank filled with coupling liquid (107), with the library of materials then placed in the tank while the surface of the coupling liquid is scanned with a laser beam. The physical structure of the liquid surface distubed by these acoustic waves is recorded.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/18192

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G01N 29/06, 29/00; G01V 01/28; G01S 09/66

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 3,778,757 A (HOUSTON) 11 December 1973 (11.12.73) Figures 8, 9, 11 plus col. 2, line 31-col. 3, line 35 and col. 6, lines 3-51.	1,4, 14,20, 15,17,27, 42, 43, 45, 19, 47, 48
A	US 3,622,968 A (SILVERMAN) 23 November 1971 (23.11.71)	1,4,14-17, 27,42-45, 48, 20, 19 and 47
A	US 5,469,369 A (ROSE-PEHRSSON et al.) 21 November 1995 (21.11.95)	1,4,14-17, 27,42-45, 20,48, 19 and 47

[X] Further documents are listed in the continuation of Box C.   [ ] See patent family annex.

*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
16 MARCH 1998	2 7 APR 1998

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer J. DAVID WIGGINS
Facsimile No. (703) 305-3230	Telephone No. (703) 305-4884

Form PCT/ISA/210 (second sheet)(July 1992)★

INTERNATIONAL SEARCH REPORT

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,375,470 A (MATSUSHIMA et al.) 27 December 1994 (27.12.94)	1,4,14-17, 27,42-45, 19,47, 20 and 48
Y	US 4,910,523 A (HUGUENIN et al.) 20 March 1990 (20.03.90) Figures 3-4, 6-7 and 9 plus col. 11, lines 19-63, col. 12, lines 20-59 and col.3, lines 38-60.	1,4,14-18, 27,42-47, 19, 48 and 20
X	US 5,357,964 A (SPIVEY et al.) 25 October 1994 (25.10.94) Figures 1, 3, 5-6 and 8 plus col. 1, lines 24-68, col. 3, lines 6-39 and col. 9, lines 27-66.	1,4,14-20, 27 & 43-48
A	US 3,718,032 A (GRAY) 27 February 1973 (27.02.73) figures 2-3 and col. 3, line 18 - col. 4, line 22.	1, 4,14-17, 27, 43-45, 19, 47,48 and 20
A	US 5,191,791 A (GERARDI et al.) 09 March 1993 (09.03.93) Figures 1, 8, 11, 12, 15 and 17.	1,4, 14-17, 27, 43-45, 19, 47-48 and 20
A	US 4,370,662 A (HOU et al.) 25 January 1983 (25.01.83) col. 4, lines 13-62 and col.3, lines 31-51 plus Figure 5.	1,4,14-17, 27,42-45, 19, 47, 48 and 20
A	US 5,224,174 A SCHNEIDER et al.) 29 June 1993 (29.06.93)	1,4, 14-17, 27, 42-45, 19, 47, 48 and 20
Y	US 4,779,451 A (EZAWA et al.) 25 October 1988 (25.10.88) Figures 1, 5 and 2c plus col. 2, lines 2-32 and col. 4, lines 21-45.	1,4, 14-19 27, 43-48 and 20
Y	US 5,524,636 A (SARVAZYAN et al.) 11 June 1996 (11.06.96) figures 13a, 13b, 18, 19, 23-26, 27 & 30, col. 1, lines 26-55, col. 2, lines 1-45, col. 21, lines 16-53 and col. 22, lines 9-63.	1,4, 14-20, 27 and 42-48

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/18192

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
   because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
   because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
   because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

   Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest   ☐ The additional search fees were accompanied by the applicant's protest.

   ☒ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet(1))(July 1992)★

A. CLASSIFICATION OF SUBJECT MATTER:
US CL :

073/53.01, 54.01, 61.45, 54.41, 579, 592, 626; 324/204, 453, 636

B. FIELDS SEARCHED
Minimum documentation searched
Classification System: U.S.

073/53.01, 54.01, 54.02, 61.45, 61.49, 54.41, 64.53, 64.54, 579, 592, 626; 324/204, 453, 636, 640, 80, 71.1;128/661.01,660.07;340/621

B. FIELDS SEARCHED
Electronic data bases consulted (Name of data base and where practicable terms used):

APS search terms: array, well, acoustic resonator, vibration oscillator, sonic stimulus, liquid, combinatorial library, frequency spectrum, time response, amplitude attenuation or damping, material properties, imaging tank, modal resonance, echo, reflected wave, coupling medium, sensor, transducer or detector, catalyst reaction, tuning fork, scattered wave, piezoelectric

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING
This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

Group I, claims 1-13, 15-16, 27-41, and 43-44, drawn to a method or system for determining a specific characteristic(s) of each liquid material within an array of liquid materials by means of measurements made for *frequency response* resulting from a known stimulus applied to an array of resonators; i.e.- amplitude versus frequency.

Group II, claims 14, 17, 42 and 45, drawn to a method or system for determining a specific characteristic(s) of each liquid material within an array of liquid materials by means of measurements made for *temporal response* resulting from a known stimulus applied to an array of resonators; i.e.- amplitude versus time.

Group III, claims 18 and 46, drawn to a method or system for imaging a combinatorial array of materials by generating an acoustic field within an imaging tank filled with coupling fluid and a combinatorial array of materials, and scanning a surface of coupling fluid with a laser beam, and detecting the *laser beam scattered* from such surface; so as determine a characteristic(s) of materials within an array of materials.

Group IV, claims 19 and 47, drawn to a method or system for imaging a combinatorial array of materials by generating an acoustic field within an imaging tank filled with coupling fluid and a combinatorial array of materials, and detecting the *received reflections* of same *acoustic field* from such combinatorial array of materials; so as determine a characteristic(s) of materials within the combinatorial array of materials.

Group V, claims 48-53 and 20-26, drawn to a method or system for imaging a combinatorial array of materials, and monitoring the *received echoes* produced by an interaction of such acoustic energy with each material in same array of materials, a receiver and processor for calculating a magnitude of such echoes and the time delay between application of acoustic energy and receipt of such resulting echoes; so that processor can determine *an image* of such materials from same magnitude and time delay information.

The inventions listed as Groups I-V do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: In regards to Groups I and II, the basic operating principles and equipments are considered different for two methods that determine some properties of a combinatorial array of liquid materials by means of 1) frequency response measurements; and 2) amplitude versus time, Q-factor or resonance response measurements [but no definite imaging or physical structure determination is performed as in Groups III, IV and V]. In regards to both Groups III and IV an acoustic field is generated within an imaging tank- determination of physical structure is performed so as to yield an imaging of a combinatorial array of materials, but Group III scans with a laser beam and detects scattered light from the surface of coupling fluid with array of materials therein, while Group IV detects received reflections of acoustic field from such array of materials [no laser beam used, and no interaction of acoustic energy defined]. Finally, Group V has a receiver to monitor the echoes produced by interaction of acoustic energy with each material in such array of materials, while a processor calculates echo magnitude and echo time delay so as to yield an imaging of same array of materials [no laser

beam used, no scattered light , no frequency response, no resonance response; but also the noted calculations go beyond the mere detection of reflected acoustic energy of the Group IV invention].